



Last Updated July 24, 2009

FERC Standards of Conduct – Order 2004, Order 717 Indianapolis Power & Light Company Incident Disclosure

Friday, July 24, 2009

An incident occurred on Tuesday, July 21, 2009 that resulted in the inadvertent disclosure of transmission information to the IPL Energy Supply Office from a screen within the IPL Energy Control System (ECS). An Energy Supply Coordinator in the Energy Supply Office was accessing the AGC Home page in the ECS System and clicked on the “Tie Line Summary” option. This option is supposed to be restricted to transmission personnel only and is not supposed to be available to the Energy Supply Office but the page came up. The Energy Supply Coordinator immediately knew he should not see that screen and closed it immediately. The Energy Supply Coordinator followed his training and immediately notified the Team Leader of the Energy Control System. The ECS Team Leader immediately took actions to correct the properties on that page such that the Energy Supply Office could no longer see that particular page.

The Energy Supply Coordinator took no actions based on this information and there was no market advantaged gained.

IPL is still investigating why the properties on that page were not set properly.

Friday, March 7, 2008

An incident occurred on Thursday, March 6, 2008 that resulted in the inadvertent disclosure of transmission information by an employee at IPL's Harding Street generating station. The IPL Energy Supply Office, a merchant function, was copied on an email regarding upcoming work that involved taking a 138 kV line out of service. The email included reference to a 138 kV line and 138 kV breakers that the Energy Supply Office is not allowed to know the status of under IPL's Standards of Conduct.

The employee involved has been counseled on IPL's Standards of Conduct and all IPL plant operators have been reminded that the Energy Supply Office can only know the status of the breakers directly associated with each generating unit. The Plants cannot discuss the status of any transmission lines out of their plan with Energy Supply Office.

Monday, November 21, 2005

An incident occurred on Monday, November 21, 2005 that resulted in the inadvertent disclosure of transmission information by an employee in the IPL Corporate Accounting group. The Director of Supply Coordination was copied on an email from the accounting employee regarding the status of an outage on IPL's Petersburg – Hanna 345 kV line and the repairs to the line. The Director of Supply Coordination is designated as a merchant employee and is not privileged to transmission information.

As a result of this disclosure the entire Corporate Accounting group will be retrained on IPL's Standards of Conduct regarding the protection of confidential transmission information. A message will also be posted on IPL's corporate network reminding all employees of the "no-conduit" rule.