



## **IPL Internal Compliance Policy for NERC Reliability Standards**

IPL's commitment to compliance with NERC Reliability Standards reflects its respect for the significant effect its business has on the reliability and supply of power to the communities in which it serves. IPL's activities support this commitment by creating a culture of compliance with the North American Electric Reliability Corporation (NERC) Standards. IPL has ultimate responsibility for its activities and will communicate with its employees and customers to promote mutual understanding of the Reliability Standards established by NERC.

### **Internal Compliance**

IPL employees will comply with the letter and intent of the NERC Standards and the IPL Reliability Compliance Program. Adherence to the Compliance Program shall be supervised by the IPL Executive Compliance Committee and managed by the appropriate designee.

IPL will carry out effective training for its employees to ensure a thorough understanding of the pertinent requirements and the importance of compliance with the NERC Standards.

### **Self - Reporting**

It is the responsibility of all IPL employees to comply with this Compliance Policy by informing IPL management of actual or potential non-compliance. Failures in reporting, communicating, or documenting instances of non-compliance will be corrected and reported in a timely manner. Employees who act to ignore, conceal, or misrepresent requirements, procedures, or errors will be subject to disciplinary action up to and including termination of employment.

IPL will audit and monitor its compliance to all applicable NERC Standards and the objectives of the IPL Compliance Policy. IPL will take appropriate action to correct noted deficient areas, keep accurate and complete information, and make regular reports to IPL management.

### **The AES Corporate Compliance "Hotline"**

AES has established a 24-hour Hotline, 1-800-824-7175, to assist with compliance issue. AES or IPL people may report suspected violations to, or ask questions of, the Hotline anonymously; however, providing a name may expedite the time it takes to respond to the call and it also allows AES or IPL to contact the caller if necessary during any investigation.

### **Cooperation with Investigators and Auditors**

IPL employees will cooperate with FERC, NERC, and regional investigators and auditors to supply these agents with all materials, means, and accesses to facilitate the completion of their charge.